

## Originality of the Semantic Approach in Arabic Linguistic Thought, with Particular Reference to Ibn al-Qaṭṭāʿ's Work

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### Abstract

In this study we investigate some aspects of the linguistic thought of Ibn al-Qaṭṭāʿ (d. 515/1121) with the intent of contributing to a better knowledge of this eminent personality of Arab Muslim Sicily. To this aim, we offer a description of the milieu of linguistic thought to which al-Qaṭṭāʿ belonged, with particular reference to some members of that milieu, who are known to modern scholars for efforts distinguished by theoretical and methodological originality. We also clarify some semantically-oriented original traits of Ibn al-Qaṭṭāʿ' s morphological analysis, as emerging from his treatise *Kitāb ʿabniyat al-ʿasmāʾ wa-l-ʿafāl wa-l-maṣādir*, as precisely such traits make it possible to number him among the infrequent bearers of semantic originality in the context of medieval Arabic linguistic thought.

*Key words:* Ibn al-Qaṭṭāʿ, originality, morphology, semantics

### Arabic linguistic thought, conservatism and originality

From an historical perspective, the chronological limits within which linguistic thought developed in the medieval Arab Muslim world can be set approximately between 180/796, the date of Sībawayhi's death, and 911/1505, the date of al-Suyūṭī's death.<sup>1</sup> If we turn to epistemological considerations, modern scholars have long noticed that, within that time-span, Arabic linguistic thought is characterized by strong conservatism in terms of objectives, contents and methodology. However, modern scholars differ in their assessment of this phenomenon. In asserting that "les grammairiens arabes se sont fastidieusement répétés, copiés les uns les autres", Fleisch<sup>2</sup> is reluctant to judge such conservatism positively; whereas Guillaume<sup>3</sup> gives the opposite advice when he affirms that Arabic linguistic thought "was founded on a remarkably self-consistent set of general principles (of axioms, so to speak) defining its object, its aims, and its methods".

In particular, in the methodology of Arabic linguistic thought, and particularly in grammatical description, conservatism mainly manifests itself as the tendency, on the part of different schools (Kufan, Basran, Baghdadian, Andalusian, Egyptian<sup>4</sup>), to focus linguis-

1 CARTER 2007: 184, 189. The date of Sībawayhi's death is not a matter of certainty. Here, his death is dated to 180/796 following BAALBAKI 2002: 1, BAALBAKI 2008: 1 and BAALBAKI 2014: 2.

2 FLEISCH 1961, i: 46.

3 GUILLAUME 2007: 175.

4 DAYF 1968: 241-2.

tic analysis on the level of form rather than of meaning.<sup>5</sup> In all likelihood, the historical reason that lies behind this attitude is the obscurity that the Arab grammarians and lexicographers might have perceived in the variety of Arabic they wanted to describe, the so-called *kalām al-‘Arab*.<sup>6</sup> It can be hypothesized, in fact, that in transmitting and investigating the *kalām al-‘Arab*, the Arab grammarians and lexicographers not so infrequently took great pains in *understanding* it, so they felt somehow forced to access it primarily through its form rather than its meaning, the former being clearer to them than the latter. It is telling in this respect that precisely the study of obscure words (*ġarīb*) was an important part of the Arab lexicographers’ work since the very beginnings of Arabic linguistic thought,<sup>7</sup> though further investigation is required to validate such a hypothesis.<sup>8</sup>

Be that as it may, the fact that conservatism tends to tally with a formal approach in the methodology of Arabic linguistic thought implies that the rare traits of originality present take place on the level of meaning. This is illustrated by al-Ġurġānī’s (d. 471/1078) interpretation of the word-order pair *ġumla ismiyya-ġumla fi‘liyya*, e.g., *al-zaydūna katabū / kataba al-zaydūna* ‘the Zayds, they wrote/the Zayds wrote’.<sup>9</sup> While Arabic linguistic thought usually derives this syntactic pair from a *formal* opposition, which consists of the agreement, or lack thereof, between the verb and the noun,<sup>10</sup> al-Ġurġānī interprets it as the result of a *semantic* opposition, in which informational saliency affects either the utterance-initial noun (i.e., *al-zaydūna* in *al-zaydūna katabū*) or the utterance-initial verb (i.e., *kataba* in *kataba al-zaydūna*).<sup>11</sup>

5 VERSTEEGH 1997: 228.

6 Technically speaking, the definition of this variety of Arabic is quite fluid in the literature. A matter of wide consensus among Arabists is that *kalām al-‘Arab* is basically the linguistic material attested to in the Koran and pre-Islamic poetry (GUILLAUME 2007: 177), but according to some definitions it may also include the linguistic data collected from the Bedouin (*kalām al-‘Arab*) and even the Prophet’s sayings (*ḥadīth*): cp. BAALBAKI 2014: 30, 37. See also LEVIN 1999: 270 for a narrower definition of the variety of Arabic under discussion.

7 BAALBAKI 2014: 7, 36-37.

8 Outside Arabic, it is well established among linguists that an epistemological connection exists between an obscure language and the resort to a formal approach to analyze it. Lepschy exemplifies this state of affairs by means of the formal approach that American structuralists developed to account for Amerindian languages, which effectively appeared rather puzzling to them (LEPSCHY 1966: 151-2).

9 Cp. VERSTEEGH 1997: 259-260.

10 Al-Ġurġānī himself adheres to this formal interpretation in terms of syntactic agreement in his work *al-Muqtaṣid fī šarḥ al-ṭdāh*. See, e.g., al-ĠURĠĀNĪ, *al-Muqtaṣid fī šarḥ al-ṭdāh*: 327-8, in which he defines the element that can co-occur with the verb of a *ġumla ismiyya* and cannot co-occur with the verb of a *ġumla fi‘liyya* as a unit that carries syntactic information and is incorporated into that verb (*l-fā‘il ka ‘l-ġuz’ min-a l-fi‘l*), i.e., as a sort of agreement-marker. This passage reads as follows: *wa-‘lam ‘anna l-fā‘ila ka ‘l-ġuz’i min-a l-fi‘li wa-li-ḍālika lam yaġuz taqḍimu ‘alay-hi naḥwa ‘an taqūla l-zaydāni ḍaraba [...] fa-lammā lam yaqūlū ‘illā ḍarabā ‘alimta ‘anna l-zaydāni raf‘u-humā bi ‘l-ibtidā‘i wa ‘l-fā‘ila huwa l-‘alifu fī ḍarabā*. On the different approaches of al-Ġurġānī ‘grammarian’ (*al-Muqtaṣid fī šarḥ al-ṭdāh*) and of al-Ġurġānī ‘rhetorician’ (*Dalā‘il al-‘iġāz*), see, among many others, VERSTEEGH 1997: 259-260.

11 al-ĠURĠĀNĪ, *Dalā‘il al-‘iġāz*: 147. Concretely, al-Ġurġānī exemplifies the semantic opposition between *ġumla ismiyya* and *ġumla fi‘liyya* by means of interrogative utterances (*al-istifhām*) such as *‘a-fa‘alta, ‘a-‘anta fa‘alta*. In these utterances, the informational saliency, which consists of the speaker’s

In the literature, few other examples of semantic traits of originality are seemingly reported, the most notable of which are those developed by al-Astarābādī (d. 688/1289)<sup>12</sup> and Ibn Hišām (d. 761/1359).<sup>13</sup> By contrast, it seems that the semantic originality that Ibn al-Qaṭṭā' (d. 515/1121) brought to Arabic linguistic thought has not yet received scholarly attention. In what follows, we first outline the main aspects of semantic originality of al-Astarābādī's and Ibn Hišām's linguistic thought in the form of a review of the literature, then proceed to clarify the contribution of Ibn al-Qaṭṭā' in the same respect.

Yet before proceeding further, a caveat is in order: ascertaining the pervasiveness of a formal approach in the conservative transmission of Arabic linguistic thought should not mislead us into oversimplification. In the transmission of such knowledge, the semantic dimension was marginal but not totally absent. Evidence for this assertion comes from the formative stages of Arabic linguistic thought: as Baalbaki points out,<sup>14</sup> Sībawayhi avails himself of "technical terms which refer to formal aspects" and which, at the same time, "have distinct semantic functions", although "[he] does not formulate a semantic theory in the *Kitāb*" for these terms, relegating them to a marginal role. We can draw an example from morphology to understand this point. In Sībawayhi's view, the construct of affixation (*ziyāda*) can but must not involve a semantic dimension, contrary to standard assumptions in modern Western linguistics. On the one hand, Sībawayhi explicitly states that affixation may "introduce an element of meaning" (*tadhulu li-ma'nan*).<sup>15</sup> On the other hand, he also asserts that this function is not quintessential to affixation, the other important function of it being that of *ilhāq*, i.e., "reducing one [anomalous] pattern to another [more regular] pattern" (*tulhiqu binā'an li-binā'*) regardless of their meaning.<sup>16</sup> For instance, the Arab grammarians regard the Quranic hydronym *kawṭar* as instantiating an unexpected consonant *w*, which disrupts the regular pattern *fa'al*, thus yielding the anomalous pattern *faw'al*. They also propose to reconceptualize the unexpected consonant *w* as an affix that, in merely formal terms, occupies the position of a root consonant (*ilhāq*), rather than introducing an element of meaning. This analysis allows them to re-interpret the anomalous pattern *faw'al* as a regular quadriconsonantal pattern, which is effectively attested to in nouns such as *ḡa'far*.<sup>17</sup>

Furthermore, the formal approach itself was not immune from sporadic traits of originality, in spite of the Arab grammarians' tendency to transmit it conservatively from one generation to the next. An indicative example is the conceptual organization of Arabic grammatical theory devised by Ibn al-Sarrāḡ (d. 316/928), the original character of which

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doubt (*šakk*), affects either the utterance-initial verb *fa'alta* (*fa-bada'ta bi'l-fi'li kāna l-šakku fi l-fi'l*) or the utterance-initial (pro)noun *'anta* (*fa-bada'ta bi'l-ismi kāna l-šakku fi l-fā'il*). Cp. also VERSTEEGH 1997: 259-260.

12 GUILLAUME 1998: 59-62.

13 GULLY 1995: 6, 56.

14 BAALBAKI 2008: 173. Cp. also the discussion concerning the notion of *faḍla* in the next section.

15 SIBAWAYHI, *Kitāb*, iii: 213. This translation is based on BAALBAKI 2002: 7.

16 SIBAWAYHI, *Kitāb*, iii: 213. In this connection Baalbaki himself remarks that "this *ziyāda* is different from the one which uniformly introduces an element of meaning": see BAALBAKI 2002: 3.

17 BAALBAKI 2002: 4. Cp. also SIBAWAYHI, *Kitāb*, iii: 211, which puts forward a similar analysis for *fa'wal* (e.g., *ḡadwal*).

Guillaume<sup>18</sup> highlights as follows: “The same preoccupation with clarifying the foundations of grammatical theory and with finding new, more explicit ways to formulate it is also perceptible in Ibn al-Sarrāğ’s (d. 316/928) *ʿuṣūl*, a descriptive treatise following an entirely new and systematic order of exposition”. Guillaume<sup>19</sup> also highlights the isolated nature of this formal originality by observing that Ibn al-Sarrāğ’s successors fossilized his conceptual organization of Arabic grammatical theory into a “canonical mode of exposition for grammatical treatises” so that “no major evolution occurred in subsequent centuries” for such a theory.<sup>20</sup>

Bearing this in mind, we can now address the issue of (non-marginal) semantic originality in Arabic linguistic thought.

### Al-Astarābādī and the Arabic system of case endings

Raḍī l-Dīn Muḥammad b. al-Ḥasan al-Astarābādī was an Arab grammarian of Iranian origin. He was born on an unknown date in the city of Astarābād (present-day Iran), which is traditionally described as producing scholars proficient in all the sciences. In al-Astarābādī’s time, however, the cultural potential of that milieu was probably limited by historical accidents, such as the Mongol invasions, which may explain why his commentary (*ṣarḥ*) on the syntactic treatise *Kāfiya* of Ibn al-Ḥāğib (d. 646/1249) was not circulated or developed by subsequent grammarians in spite of his scholarly prowess. Another possible explanation for the inadequate reception of al-Astarābādī’s commentary—with the notable exception of al-Suyūfī (d. 911/1505)—was its innovative nature vis-à-vis the predominating conservatism of Arabic linguistic thought at that time. Al-Astarābādī may have died in 686/1287 or more likely in 688/1289.<sup>21</sup>

The conservative methodology of Arabic linguistic thought we have just alluded to devoted considerable attention to the system of case endings (*iʿrāb*), which constituted a central feature of the variety of Arabic described by the Arab grammarians and lexicographers. The resulting theory stands out for its conceptual simplicity: briefly,<sup>22</sup> what assigns the case ending to the noun is a particle or a verb<sup>23</sup> that precedes the noun in question. As a corol-

18 GUILLAUME 2007: 176.

19 GUILLAUME 2007: 176.

20 The systematic character that originally informs Ibn al-Sarrāğ’s conceptual organization of grammar is apparent, for instance, from his description of the syntactic behavior of parts of speech in logical-combinatorial terms. Cp. the key-word *yaʿtalifu* in the following passage (IBN al-SARRĀĠ, *al-ʿUṣūl fī l-naḥw*, i: 41): *wa-l-laḍī yaʿtalifu minhu l-kalāmu l-ṭalātatu l-ismu wa-l-fiʿlu wa-l-ḥarfū fa-l-ismu qad yaʿtalifu maʿa l-ismi... wa-yaʿtalifu l-ismu wa-l-fiʿla [...] wa-lā yaʿtalifu l-fiʿlu maʿa l-fiʿli wa-l-ḥarfū lā yaʿtalifu maʿa l-ḥarf*. See GHERSETTI, to appear for further details and references.

21 See BOHAS, GUILLAUME, KOULOGLI 1990: 72, GUILLAUME 1998: 61, MANGO 1986: 721, WEIPERT 2009.

22 This is admittedly a simplified account of the canonical theory of case endings in Arabic linguistic thought, which abstracts away from case-assigners such as the covert element referred to as *ibtidāʾ* by the Arab grammarians. See GUILLAUME 1998: 44-58 for details.

23 It would be tempting to restate in modern terms this theoretical scenario by assuming a pattern of complementary distribution. On this view, three parts of speech are found in Arabic, two of which (verb,

lary, the phonological realization of the case ending assigned to the noun depends precisely on the nature of the constituent that precedes it. The particle assigns the case ending *i* to the noun; the verb assigns the case ending *u* to the first instance of a noun in the utterance, as well as the case ending *a* to the second instance of it; and a covert constituent, which has a verb-like and/or a particle-like nature, assigns the case ending *u* to the noun. For instance, in the utterance *ḍaraba Zaydun 'Amran* 'Zayd hit 'Amr', the verb *ḍaraba* assigns the case ending *u* to the proper noun *Zayd* and the case ending *a* to the proper noun 'Amr'.<sup>24</sup> A theory of case along these lines is formal in the sense that no semantic considerations are invoked to explain the phonological realization of the case endings, the position of the utterance constituents only being relevant. Keeping to the example *ḍaraba Zaydun 'Amran*, there is a tendency for the Arab grammarians to elaborate only very minimally upon the idea that the case ending *u* is assigned to the agent of the utterance *Zayd*, and the case ending *a* to its object 'Amr'.<sup>25</sup>

However, al-Astarābādī takes the opposite approach by affirming that the case ending *u* is assigned to any necessary part of the utterance (*'umda*)<sup>26</sup>, such as the subject and the predicate, and the case ending *a* is assigned to any optional part of it (*faḍla*), such as the object and the other complements.<sup>27</sup> A parallel with the modern linguistic notion of minimum clause will be useful to elucidate al-Astarābādī's theory of case endings<sup>28</sup>, and especially the dialectics between *'umda* and *faḍla*<sup>29</sup> upon which this theory is founded. To begin with, let us consider the utterance *John ate an apple*, from which we can derive the minimum clause *John ate* if we omit its object *an apple*. The relevant fact about this omission is that it deletes a portion of meaning, e.g., *an apple*, from the utterance, e.g., *John ate an apple*, without compromising the latter's overall semantics (and grammaticality) and yielding a minimum clause that is made of a subject and a (verbal) predicate, e.g., *John ate*. The same remarks apply to the utterance *John ate yesterday*, if we omit its complement of time

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particle) assign the case ending and the other (noun) receives it. Nonetheless, the ability of the verb to receive the case ending (cp. the imperfective forms *yaf'alu*, *yaf'ala*) falsifies an interpretation of this sort.

24 See the end of this paper for further examples concerning the particle and the noun to which it assigns the *i*-ending.

25 On the contrary, modern Western linguistics is inclined to endorse this interpretation.

26 See, e.g., al-ASTĀRĀBĀDĪ, *Šarḥ al-Kāfiya*, i: 52: *tumma 'lam 'anna muḥḍita ḥāḍihi l-ma'ānī fī kulli smīn huwa l-mutakallimu [...] wa-kaḍā l-'āmilu fī kulli wāḥidin min-a l-mubtada'i wa'l-ḥabari huwa l-'āḥaru 'alā maḍḥabi l-kisā'iyyi wa'l-farrā'i 'id kullu wāḥidin min-humā šāra 'umdatan bi'l-'āḥar.*

27 See, e.g., al-ASTĀRĀBĀDĪ, *Šarḥ al-Kāfiya*, i: 52 *wa-ḥtulīfa fī nāṣibi l-faḍalāti fa-qāla l-farrā' huwa l-fī'lu ma'a l-fā'il wa-hwa qarībun 'alā l-'ašli l-maḍkūri 'id bi-'isnādi 'aḥadi-himā 'ilā l-'āḥari šārat [i.e., l-ma'ānī: see the previous footnote] faḍlatan.*

28 This is a simplified overview of al-Astarābādī's theory of case endings, which says nothing about the case ending *i*. A more complete presentation of this theory could probably treat the case ending in question as a syntactically-conditioned allomorph of the case ending *a*: *a* becomes *i* when preceded by a preposition (e.g. *masā'an* 'in the evening' → *fī l-masā'i* 'id.'), except for diptotes. Cp. GUILLAUME 1998: 59-62 and BOHAS, GUILLAUME, KOULOUGHLI 1990: 66-68.

29 This parallel is for clarification purposes only. It does not imply any assimilation of the modern notion of minimal clause to al-Astarābādī's notions of *'umda* and *faḍla*. More research would be needed on this subject.

*yesterday*. This semantic situation is tantamount to saying that in the minimum clause only the subject and the (verbal) predicate qualify as the necessary parts of the utterance, not unlike the *ʿumda* in al-Astarābādī's view, whereas the object and other complements are an optional part of it (cp. their omittability), not unlike the *faḍla* in his view. By way of illustration, the utterance *Zaydun munṭaliqun* 'Zayd is leaving' includes two instances of *ʿumda* (the subject *Zaydun* and the predicate *munṭaliqun*), whereas the aforementioned object *ʿAmran* is an instance of *faḍla* similarly to complements of time and manner (e.g., *masāʿan* 'in the evening', *al-battata* 'surely'). This theory of case endings is semantic since it has at its core the notions of *ʿumda* and *faḍla*, which ultimately are but two sets of pieces of information one speaker conveys to another, such as substance, attribute (cp. the subject and the predicate that define the *ʿumda*), time, manner (cp. the complements of time and manner that define the *faḍla*).<sup>30</sup>

The mainstream formal theory of case endings and al-Astarābādī's semantic theory of case endings seem to be equally capable of explaining the presence of case endings in a simple utterance like *ḍaraba Zaydun ʿAmran*, where the case endings *u* and *a* can be analyzed either as two outcomes of the verb *ḍaraba* that precedes the nouns bearing them; or as an opposition necessary vs. optional part of the utterance. However, al-Astarābādī's semantic theory of case endings is seemingly superior to its formal counterpart when it comes to a more complex instance of utterance, which involves a passive form. Arabists have in the past noticed the difficulties experienced by the mainstream formal theory of case endings with respect to al-Astarābādī's theory, but the passive utterances they have taken into consideration belong to a somewhat *ad hoc* set of utterances often mentioned in the Arab grammarians' treatises, e.g. *sīra farsahāni* 'Two leagues were travelled'.<sup>31</sup> Here, we would like to discuss the same theoretical scenario by means of a more concrete instance of passive utterance, drawn from the linguistic data gathered by Sībawayhi. The author of the *Kitāb* mentions a kind of passive utterance, in which the internal object displays an alternation of case endings *u/a*, e.g., *ḍuriba bi-hi ḍarbun ḍaʿīfun / ḍarban ḍaʿīfan* 'a weak blow was hit with it'.<sup>32</sup> A certain amount of idealization is undeniable in this linguistic data (cp. the stereotyped example *ḍuriba* etc.), but the very alternation of case endings *u/a* in it plausibly points to a *real* context of dialectal variation.<sup>33</sup>

As has just been illustrated, the mainstream formal theory predicts that the verb assigns the case ending *u* to the first instance of a noun in the utterance, so that it accounts for one member of the alternation only, i.e., *ḍarbun ḍaʿīfun*, leaving the other, i.e., *ḍarban ḍaʿīfan*, unaccounted for. By contrast, al-Astarābādī's semantic theory of case endings provides a straightforward explanation for both members of the *u/a* alternation by interpreting them as two effects of two different communicative attitudes on the part of the speaker. If the speaker places informational saliency on the piece of information 'weak blow' (cp. the

<sup>30</sup> This theory has also a pragmatic dimension insofar as it takes into account the role of the speaker and his intentions: see LARCHER 2014: 267-316.

<sup>31</sup> See BOHAS, GUILLAUME, KOULOUGHLI 1990: 65 and OWENS 1988: 183.

<sup>32</sup> Quoted in OWENS 2006: 95.

<sup>33</sup> See OWENS 2006: 94-5, who also considers the possibility of free variation. However, a non-variationist interpretation is also possible. This interpretation, which invokes pragmatic factors such as a different distribution of the informationally salient constituent, is discussed immediately below.

notion of internal object in modern Western linguistics), this element functions as a necessary part of the utterance (*'umda*), and therefore receives the case ending *u*. If the speaker does not place informational saliency on the piece of information 'weak blow', the same element functions as an optional part of the utterance (*faḍla*), thereby receiving the case ending *a*.<sup>34</sup>

Insofar as al-Astarābādī worked out a semantic theory of case endings, thus departing from the formal theory of case endings that the Arab grammarians conservatively accepted and transmitted from one generation to another, we can credit him as a bearer of semantic originality in Arabic linguistic thought. His semantic originality is particularly remarkable in light of its ability to analyze certain facets of the utterance that Arabic linguistic thought traditionally takes great pains to analyze by means of its formal approach. That said, the disruption that al-Astarābādī represents with respect to mainstream Arabic linguistic thought should not prevent us from recognizing his continuity with it.<sup>35</sup> Suffice it here to mention two facts. In first place, the notion of *faḍla* is already found in the work by al-Mubarrad (d. 285/898).<sup>36</sup> Secondly, and more importantly, al-Astarābādī himself presents his semantic theory of case endings as a development of some views held by al-Farrā' (d. 207/822)<sup>37</sup>, who is well known for his strong interest in the linguistic exegesis of the Koran (cp. his huge work *Ma'ānī l-Qur'ān*).<sup>38</sup> The epistemological link between al-Farrā' and al-Astarābādī therefore provides the crucial indication that the semantic originality revealed by Arabic linguistic thought may possibly find its ultimate origin in the linguistic exegesis of the Koran.

34 This notion merely serves a clarification purpose. The question whether it can be assimilated to the notion of *maf'ūl muṭlaq* is not relevant here. Consequently, the difference in terms of case-assignment between the Western notion of internal object, as applied here, (alternation of case-endings *u/a*) and that of *maf'ūl muṭlaq* (case-ending *a* only) raises no interpretive difficulties.

35 In Guillaume's own words: "Il s'agit là, incontestablement d'une rupture avec ce qui est alors devenu, depuis plus d'un siècle, la «doctrine officielle» de la plupart des grammairiens arabes; cependant cette rupture [...] se fonde sur des tendances attestées depuis longtemps dans la tradition arabe." (GUILLAUME 1998: 60).

36 AHMED TAHA 2008: 100.

37 For instance, al-Astarābādī derives the semantic 'autonomy' of the *'umda*-constituents *mubtada'* and *ḥabar* (as opposed to the semantic 'dependency' of the *faḍla*-constituents) from their capability of governing each other, a theoretical construct that he ascribes, among others, to al-Farrā'. This is apparent from the passage quoted above in connection with the notion of *'umda*: *wa-kaḍā l-'āmilu fī kulli wāḥidīn min-a l-mubtada'i wa'l-ḥabari huwa l-'āḥaru 'alā maḥabī l-kisā'iyyi wa'l-farrā'i 'id kullu wāḥidīn min-humā šāra 'umdatan bi'l-'āḥar* (al-ASTĀRĀBĀDĪ, *Šarḥ al-Kāfiyah*, i: 52).

38 For instance, in this work al-Farrā' discusses *mubtada'*'s and *ḥabar*'s capability of governing each other, of which al-Astarābādī will avail himself to develop his formulation of the notion of *'umda* (cp. the *locus probans* mentioned in the previous fn.). See, e.g., al-FARRĀ', *Ma'ānī l-Qur'ān* ii: 302: *wa-qawlu-hu wa-qālati mra'atu fir'auna qurratu 'aynin lī wa-la-ka rufi'at qurratu 'aynin bi-'idmāri huwa wa-miṭlu-hu fī l-qur'āni kaṭrun yurfā'u bi-'idmār*. In this passage al-Farrā' analyzes the words of Pharaoh's wife reported in the Quranic verse 28:9 ("Said Pharaoh's wife, 'He will be a comfort to me and thee...'", Arberry's translation) as a *mubtada'* (i.e., *qurratu 'aynin*) that receives its *u*-ending from a covert *ḥabar*, i.e., *huwa*, which governs it. On the linguistic aspects of al-Farrā''s *Ma'ānī l-Qur'ān*, see also BERTONATI 1988.

## Ibn Hišām and the Arabic definite article

The attentive reader will have noticed that the formal theory of case endings, just outlined in the previous section, in turn hinges on a classification of the parts of speech, namely the tripartite classification of Arabic words into noun, verb, particle (*ism, fi'l, ḥarf*). One of the tersest formulations of this classification goes back to the *incipit* of Sībawayhi's *Kitāb* and has enjoyed great fortune up until recent times, as virtually no modern grammar of literary Arabic discounts the model of classification of Arabic words into *ism, fi'l, ḥarf*: "The words are noun, verb and particle" (*fa-l-kalimu smun wa-fi'lun wa-ḥarf*).<sup>39</sup> The conservatism that pervades the Arab grammarians' classification of parts of speech is self-evident.

To this we could add that the classification in question also entails a certain amount of formalism, as shown by the influential analysis of the particle carried out by Sībawayhi in the aforementioned *incipit* of his *Kitāb*. In this passage, in fact, he does not set out a *positive semantic* definition of the particle (e.g., what denotes time, place, manner etc.), preferring instead to define it negatively as what is semantically neither a noun nor a verb: "the particle that occurs to [convey] a meaning, which is neither nominal nor verbal" (*ḥarfun ḡā'a li-ma'nān laysa bi-smīn wa-lā fi'l*).<sup>40</sup>

Hence, it seems safe to maintain that the formal aspect prevails over the semantic one in the analysis of the particle developed by Arabic linguistic thought from Sībawayhi onward. Concretely, the Arabic definite article is among the particles that receives an analysis of this sort as, according to a recent study by Baalbaki,<sup>41</sup> even definiteness (*ta'rīf*), which represents its key property, is one of "the technical terms which refer to formal aspects" in the *Kitāb* (e.g., the position the article fulfills with respect to the noun). Such a formal (positional, etc.) analysis will also become conservative when the subsequent grammarians continue to pursue it, assigning a marginal role to the semantic properties of the Arabic definite article that they could identify, such as the latter's reference to previous knowledge (*'ahdiyya*). However, a case can be made for a semantic treatment of the Arabic definite article on the part of Ibn Hišām.

Ġamāl al-Dīn Abū Muḥammad 'Abd Allāh b. Yūsuf b. 'Abd Allāh b. Yūsuf b. Aḥmad b. 'Abd Allāh b. Hišām al-Naḥwī was a *faqīh* and grammarian. He was born in 708/1310 in Cairo, where he spent most of his life and died in 761/1360.<sup>42</sup> As a Šāfi'ī doctor, he became professor of Quranic exegesis (*tafsīr*) at the Qubba Maṣūriyya in Cairo. As a grammarian, he authored the treatise *Muḡnī l-labīb 'an kutub al-'a'ārīb*, which won the complete admiration of Ibn Ḥaldūn (d. 808/1406). This is a description of syntax arranged to start from each Arabic *ḥarf* in alphabetical order. In the *Muḡnī l-labīb 'an kutub al-'a'ārīb*, Ibn Hišām also deals with the Arabic definite article, which he regards as an instance of particle, and provides a more fine-grained account of the aforementioned notion of *'ahdiyya* by classifying it into three subnotions, namely, *ma'hūd dīkriyyan*, *ma'hūd dīhniyyan*, *ma'hūd ḥudūriyyan*.

39 SIBAWAYHI, *Kitāb*, i: 12. Cp. also VERSTEEGH 1997: 242.

40 SIBAWAYHI, *Kitāb*, i: 12. Cp. also VERSTEEGH 1997: 242.

41 BAALBAKI 2008: 173. Cp. also the beginning of this paper for the interplay between the (prevailing) formal approach and the (marginal) semantic approach in Sībawayhi's work.

42 FLEISCH 1986: 801-2, GULLY 1995: 1-26, 266.

They roughly correspond to the modern linguistic constructs of textual anaphora, extra-textual anaphora, and deixis, respectively.<sup>43</sup>

Thus, by means of his tripartite and semantically-oriented classification of the Arabic definite article, Ibn Hišām brings forth a perspective that, because of its uniqueness within Arabic linguistic thought, is undeniably original; although this assertion must be tempered by the acknowledgement that in the same classification Ibn Hišām foregrounds a significant trait of continuity with mainstream Arabic linguistic thought. In fact, as just alluded to, Ibn Hišām takes as the departure point of his tripartite and semantically-oriented classification of the Arabic definite article the traditional (and marginal) notion of *'ahdiyya*. A dialectics between originality and continuity therefore emerges in Ibn Hišām's linguistic thought, which constitutes a notable aspect of similarity with al-Astarābādī's thought.<sup>44</sup> Another aspect of similarity that one grammarian shares with the other is a strong background in the linguistic exegesis of the Koran—as just alluded to, Ibn Hišām was appointed professor of this discipline.<sup>45</sup>

### Ibn al-Qaṭṭā' and Arabic prefixation

'Alī b. Ġa'far b. 'Alī al-Šantarīnī al-Sa'dī al-Šiqillī, also known as Ibn al-Qaṭṭā', was an anthologist, historian, grammarian, lexicographer and poet, who was born in Sicily in 433/1041. In that period the island was first ravaged by civil war, then conquered by the Normans, leading him to leave Sicily in 1061. After a short stay in Andalusia, he finally settled in Egypt, where he died in 515/1121. There he circulated the *al-Šihāḥ* dictionary by al-Ġawharī (d. 398/1007-8), of which he is traditionally said to be the greatest transmitter and which he received from his teacher Ibn al-Birr (d. around 493/1100).<sup>46</sup>

According to the Arabic linguistic tradition, Ibn al-Qaṭṭā' is the author of two thematic glossaries (*mubawwab*) devoted to the morphological patterns (*'abniya*) found in the *kalām al-'Arab*. While one thematic glossary, the so-called *Kitāb al-'af'āl*, only deals with verbal patterns, the other, transmitted under the title *Kitāb 'abniyat al-'asmā' wa'l-'af'āl wa'l-mašādir*, revolves more broadly around the patterns of nouns, verbs and the hybrid category they give rise to: the verbal noun (*mašdar*).<sup>47</sup>

43 See IBN HIŠĀM, *Muġnī l-labīb 'an kutub al-'a'ārīb*, i: 106, 108. This passage reads as follows: *'al 'alā talāṭati 'awġuhin [...] wa'l-tānī 'an takūna ḥarfa ta'rīfin wa-hya naw'āni 'ahdiyyatun wa-ġinsiyyatun wa-kullun min-humā talāṭatu 'aqsāmin fa'l-'ahdiyyatu 'immā 'an yakūna mašḥūbu-hā ma'hūdan ḍikriyyan [...] 'aw ma'hūdan ḍihniyyan [...] 'aw ma'hūdan ḥuḍūriyyan*. The parallel between *ma'hūd ḍikriyyan*, *ma'hūd ḍihniyyan*, *ma'hūd ḥuḍūriyyan* and textual anaphora, extra-textual anaphora, deixis is proposed by GULLY 1995: 146-8. Cp. also VERSTEEGH 1997: 265.

44 See the end of the previous section.

45 See also the end of the previous section.

46 RIZZITANO 1986: 818-19, CAPEZIO 2015: 139-41. See also the editor 'Abd al-Dāyīm's Introduction to IBN al-QAṬṬĀ', *Kitāb 'abniyat al-'asmā' wa'l-'af'āl wa'l-mašādir*: 19-23 and the other contributions in this volume.

47 BAALBAKI 2014: 258-60, 264-5.

The *Kitāb 'abniyat al-'asmā' wa 'l-'af'āl wa 'l-mašādir* is of particular interest here because of the potential it bears in terms of semantic originality. A good indication of its general tendency to originality is its inclusion of all sorts of Arabic morphological patterns, even those not mentioned by Sībawayhi, in its collection. Moreover, the *Kitāb 'abniyat al-'asmā' wa 'l-'af'āl wa 'l-mašādir* opts to treat the verbal noun as a self-contained object of investigation, in sharp contrast to previous works of the same genre, and in so doing relies upon a definition of verbal noun that is semantic, to the extent that it decomposes this kind of lexeme into a peculiar combination of two *semantic* primitives, i.e. the nominal and verbal properties (componential analysis).<sup>48</sup> From this vantage point, the choice of pinpointing the verbal noun as a self-contained object of investigation is fairly indicative of the particular tendency to semantic originality of the *Kitāb 'abniyat al-'asmā' wa 'l-'af'āl wa 'l-mašādir* and of its author Ibn al-Qaṭṭā'. In the remainder of this section, we further corroborate the hypothesis that an original attitude to semantic originality informs the *Kitāb 'abniyat al-'asmā' wa 'l-'af'āl wa 'l-mašādir* by means of a case study of a fundamental ingredient of Arabic morphological patterns—affixation—, and especially in the interpretation of it offered by Ibn al-Qaṭṭā' in this treatise.

Within the theoretical framework of the *Kitāb 'abniyat al-'asmā' wa 'l-'af'āl wa 'l-mašādir*, affixation (*ziyāda* and related terms: *zā'id* etc.) in essence has a consonantal nature and performs the function of increasing the length of morphological patterns. The root (*ašl*) shares with affixation the same nature and function, as it manifests itself as triconsonantal, quadriconsonantal and so on. Both consonantal affixes and root consonants can co-occur with vowels when increasing the length of morphological patterns. This theoretical framework is apparent in the conceptual structure of the *Kitāb 'abniyat al-'asmā' wa 'l-'af'āl wa 'l-mašādir*, which organizes the morphological patterns according to a criterion of increasing length of root consonants and consonantal affixes, owing much to Sībawayhi in this regard. By way of illustration, Sībawayhi mentions the morphological patterns *fu'l*, *fu'ul*, *'af'ul* precisely in this order of increasing length, as does Ibn al-Qaṭṭā' in his *Kitāb 'abniyat al-'asmā' wa 'l-'af'āl wa 'l-mašādir*. What is more, the latter grammarian, like the former, makes use of the fundamental terminological pair *ašl/zā'id*.<sup>49</sup>

Since the criterion of increasing length involves no semantic factor and revives the criterion of increasing length adopted by Sībawayhi, the theoretical framework of the *Kitāb 'abniyat al-'asmā' wa 'l-'af'āl wa 'l-mašādir* is plausibly one of the many instances of formal and conservative approach that characterize Arabic linguistic thought. This observation does not deny the *Kitāb 'abniyat al-'asmā' wa 'l-'af'āl wa 'l-mašādir* the semantically origi-

<sup>48</sup> From a textual perspective, this choice is reflected in the conceptual structure of the *Kitāb 'abniyat al-'asmā' wa 'l-'af'āl wa 'l-mašādir*, which deserves a separate treatment to the verbal noun patterns, contrary to previous works, such as the *Kitāb al-Istidrāk* authored by al-Zubaydī (d. 379/989). See BAALBAKI 2014: 285.

<sup>49</sup> IBN al-QAṬṬĀ', *Kitāb 'abniyat al-'asmā' wa 'l-'af'āl wa 'l-mašādir*: 135, 140; SIBAWAYHI, *Kitāb*, iv: 242-245. For simplicity's sake, the terminological pair *ašl/zā'id* is rendered here as root/affix in the wake of BAALBAKI 2002: 1. This terminological pair is effectively part and parcel of a broader lexical set, which also includes *ziyāda* (affixation) *mazīd* (affixed) etc. See, e.g., IBN al-QAṬṬĀ', *Kitāb 'abniyat al-'asmā' wa 'l-'af'āl wa 'l-mašādir*: 92, 109. But cp. also LARCHER 1995, who brings solid arguments in favor a more accurate translation—and conceptualization—of the terminological pair *ašl/zā'id*.

nal character we have alluded to immediately above and is instead meant to highlight the aspects of continuity that this treatise instantiates along with its aspects of originality.

Returning to the comparison between the *Kitāb 'abniyat al-'asmā' wa'l-'af'āl wa'l-maṣādir* and the *Kitāb*, a closer look at the passages that expound the morphological pattern *fu'ul* reveals a certain difference between the two treatises. While Sībawayhi exemplifies the morphological pattern *fu'ul* by means of the word *ḡumud* without explaining the latter's meaning, Ibn al-Qaṭṭā' supplements Sībawayhi's example with the gloss 'name of a mountain' (*ism ḡabal*).<sup>50</sup>

Insofar as this gloss helps to elucidate the meaning of the word *ḡumud* and is not found in Sībawayhi's work, it can qualify as a sort of semantic originality on the part of Ibn al-Qaṭṭā'. However, the semantic originality under scrutiny is not as crucial, given that it is not original to Ibn al-Qaṭṭā': the practice of glossing obscure words, the meaning of which Sībawayhi omitted to record, is typical of the genre of thematic glossary to which the *Kitāb 'abniyat al-'asmā' wa'l-'af'āl wa'l-maṣādir* belongs.<sup>51</sup> It is also worth noting that the semantically-oriented practice of glossing obscure words mainly arose and developed in the milieu of the linguistic exegesis of the Koran, as evidenced by the type of thematic glossary traditionally known as *ḡarīb al-Qur'ān*.<sup>52</sup> It follows that the original glosses that Ibn al-Qaṭṭā' associates with the morphological patterns in the *Kitāb 'abniyat al-'asmā' wa'l-'af'āl wa'l-maṣādir* lack conceptual originality yet bear testimony, again (cp. the two previous sections), to an epistemological link between semantic originality in Arabic linguistic thought and the background of linguistic exegesis of the Koran.

On the other hand, a major trait of semantic originality that we can in all likelihood fully ascribe to Ibn al-Qaṭṭā' alone emerges from a careful examination of a passage of the *Kitāb 'abniyat al-'asmā' wa'l-'af'āl wa'l-maṣādir*, drawn from its chapter on affixes (*Bāb ḡurūf al-zawā'id*).<sup>53</sup> The passage in question describes the *w*-affix as follows: "w can be inserted within a noun or a verb, but not in first position, except for the [expression of] oath; it can be inserted within them in second position, as in *kawṭar*" (*wa'l-wāwu tulḡaḡu fi*

50 IBN AL-QAṬṬĀ', *Kitāb 'abniyat al-'asmā' wa'l-'af'āl wa'l-maṣādir*: 135; SĪBĀWAYHI, *Kitāb*, iv: 243.

51 BAALBAKI 2014: 60. In principle, we can hypothesize that Sībawayhi omitted to record the meaning of *ḡumud* since it was a toponym well-known to him and to the educated people of his time; and that, on the contrary, Ibn al-Qaṭṭā' felt the need to expound the meaning of the same word as, centuries later after Sībawayhi, it had become incomprehensible to Ibn al-Qaṭṭā' himself and to his educated audience. However, textual research militates against this hypothesis. The early lexicographer Abū 'Ubayda (d. 209/824), who died about thirty years after Sībawayhi, glosses precisely the word *ḡumud* as the name of a mountain located in Najd under the sphere of influence of the Banū Naṣr tribe, which plausibly shows that this word was already obscure in Sībawayhi's time. Abū 'Ubayda's gloss, which had been transmitted by the geographer Yāqūt (d. 626/1229), reads as follows: *al-ḡumudu bi-ḡammatayni qāla abū 'ubaydata huwa ḡabalun li-banī naṣrin bi-naḡd* (cp. YĀQŪT, *Mu'ḡam al-Buldān*, ii: 161). See also BAALBAKI 2014: 19, 165 for further information about Abū 'Ubayda. However, it is also worth pointing out that the different kinds of linguistic analysis carried out by Sībawayhi and Abū 'Ubayda (*naḡw* and *luḡa*, respectively), might have plausibly influenced the absence vs. the presence of glosses associated with nominal patterns and related words such as *fu'ul* and *ḡumud*.

52 BAALBAKI 2014: 63.

53 IBN AL-QAṬṬĀ', *Kitāb 'abniyat al-'asmā' wa'l-'af'āl wa'l-maṣādir*: 99.

*l-ismi wa 'l-fi'li illā anna-hā lā tulḥaqu awwalan illā fī l-qasami l-battata wa-tulḥaqu t̄āniyatan fī kawṭar).*<sup>54</sup>

The passage of the *Kitāb* that describes the same affix differs markedly from the previous passage in that it does not admit the occurrence of *w* in first position, i.e., as an affix that can occur at the beginning of a noun or verb: “regarding *w*, it can be inserted in second position, as in *ḥawqal*” (*ammā l-wāwu fa-tuzādu t̄āniyatan fī ḥawqal*).<sup>55</sup>

In essence this difference boils down to the interpretation of the expression of oath, which in the variety of Arabic investigated by Sībawayhi and Ibn al-Qaṭṭāʿ frequently takes on the form of a string *wa*, as in *wa-llāhi lā afʿalu*.<sup>56</sup> On the one hand, Sībawayhi purports that *wa* is a sort of variant of the particle *bi*, underscoring two syntactic properties of this expression of oath. First, *wa* has the ability to co-occur with the name *Allāh*, just like the particle *bi* does. Second, *wa* has the ability to assign genitive, just as the particle *bi* does. In Sībawayhi’s own words: “the *bāʿ* [that assigns] genitive serves to join and connect [words] [...] and the *wa* used for the expression of oath fulfills the role of the *bāʿ*” (*wa-bāʿu l-ḡarri inna-mā hiya li-l-ilzāq wa 'l-iḥtilāfi wa 'l-wāwu llatī takūnu li-l-qasami bi-manzilati l-bāʿ*).<sup>57</sup> In sum, due to its focus on two syntactic properties of *wa*, which involve no semantic factors (co-occurrence, genitive-assignment), Sībawayhi’s analysis of *wa* is formal.

On the other hand, it can be argued that Ibn al-Qaṭṭāʿ’s affixal analysis of *wa*, which we have just illustrated, is semantically-oriented. The argument is built as follows. First, as discussed at the end of the Introduction, from Sībawayhi onward the affix that performs the function of *ilhāq* is combined with a *pure* morphological pattern, as is the case for *fawʿal* (cp. *kawṭar*), or *faʿwal* (cp. *ḡadwal*). Second, the affixal *wa* that co-occurs with the name *Allāh* (e.g., *wa-llāhi lā afʿalu*) is *not* combined with a *pure* morphological pattern, but with a morphological pattern *plus* the article *al* (cp. the string *Al* in *Allāh*). On these grounds, this instance of *wa* must perform a function other than *ilhāq*. Third, as discussed at the end of the Introduction, from Sībawayhi onward the only other function, besides *ilhāq*, assigned to the affix by even the formal approach of Arabic linguistic thought is semantic. Hence, by exclusion, the affixal *wa* that co-occurs with the name *Allāh* performs a semantic function: in this case, that of conveying the meaning of oath.

A semantically-oriented analysis along these lines, which is culled from Ibn al-Qaṭṭāʿ’ s twofold characterization of the *w*-affix as word-initial and related to oath (i.e., *wa*), appears to stand as an interesting trait of originality within Arabic linguistic thought. It is very instructive in this regard that three centuries after Ibn al-Qaṭṭāʿ’ s death and beyond, both the erudite works *al-ʿItqān fī ʿulūm al-Qurʿān*, authored by al-Suyūṭī (d. 911/1505), and *Tāḡ al-ʿArūs*, authored by al-Zabīdī (d. 1205/1790), provide thorough and exhaustive reviews of the several interpretations associated with the string *wa* in all of its contexts of occurrence, yet neither of them mentions Ibn al-Qaṭṭāʿ’ s analysis of *wa* in terms of an affix when they

<sup>54</sup> IBN AL-QAṬṬĀʿ, *Kitāb ʿabniyat al-ʿasmāʿ wa 'l-ʿafʿāl wa 'l-maṣādir*: 101.

<sup>55</sup> SIBAWAYHI, *Kitāb*, iv: 237.

<sup>56</sup> SIBAWAYHI, *Kitāb*, iv: 217. Cp. also WRIGHT 1896, i: 279.

<sup>57</sup> SIBAWAYHI, *Kitāb*, iv: 217.

discuss the instance of *wa* that expresses oath.<sup>58</sup> The only analysis referred to in this connection by al-Suyūṭī and al-Zabīdī is that of Sībawayhi, as is easily gleaned from a simple comparison between his definition of the *wa* that expresses oath, which we have quoted immediately above, and their definitions of the same instance of *wa*. Thus, al-Suyūṭī asserts that “the *wa* that expresses oath is a genitive-assigner” (*fa’l-ḡārratu wāwu l-qasam*).<sup>59</sup> Likewise, al-Zabīdī states that “the *wa* that expresses oath is an alternant of *bi*” (*wāwu l-qasami ... badalun min al-bā*).<sup>60</sup>

What is more, at the beginning of the chapter forty-one of his grammatical treatise *al-Muzhir fī ‘ulūm al-luḡa wa-anwā’i-hā* al-Suyūṭī explicitly mentions the treatise *Kitāb ‘abniyat al-‘asmā’ wa’l-‘af‘āl wa’l-maṣādir*, in which Ibn al-Qaṭṭā’ analyzes the *w*-affix as word-initial and related to oath (i.e., *wa*)<sup>61</sup> and yet in the same work al-Suyūṭī refrains from mentioning this analysis by Ibn al-Qaṭṭā’.<sup>62</sup> It is of the utmost importance to note at this point that the failure to mention Ibn al-Qaṭṭā’'s affixal and semantically-oriented analysis of the *wa* that expresses oath on the part of al-Suyūṭī and al-Zabīdī cannot necessarily be ascribed to their ignorance of the morphological work of the Sicilian grammarian. On the one hand, as we have just observed, in the *Muzhir fī ‘ulūm al-luḡa wa-anwā’i-hā* al-Suyūṭī explicitly and copiously cites Ibn al-Qaṭṭā’'s *Kitāb ‘abniyat al-‘asmā’ wa’l-‘af‘āl wa’l-*

58 The lack of an analysis of *wa* in terms of a word-initial affix in al-Suyūṭī's and al-Zabīdī's work is regarded here as a sort of *qualitative* evidence of the original nature of such an analysis on the part of Ibn al-Qaṭṭā’ (in the sense that this kind of evidence focuses on *how* al-Suyūṭī and al-Zabīdī used to deal with the body of knowledge elaborated on by their predecessors, Ibn al-Qaṭṭā’ included). It would be also possible to provide *quantitative* evidence to the same effect. The gist of the proposal is to study the grammatical literature between Sībawayhi's *Kitāb* and Ibn al-Qaṭṭā’'s treatise to ascertain whether the Sicilian grammarian really developed an original analysis or took it from one of his predecessors. The scope of this paper prevents a thorough presentation of this kind of quantitative evidence. However, quantitative evidence of this sort is at least in part implied by the qualitative evidence adduced in this study. In fact, the tendency to encyclopedism and erudition on the part of al-Suyūṭī and al-Zabīdī implies that, in order to eruditely enumerate *all* of the possible analyses of *wa* (qualitative evidence), they had to check and peruse the grammatical literature between Sībawayhi's *Kitāb* and Ibn al-Qaṭṭā’'s treatise (quantitative evidence), included those works that are lost to us. For instance (see BAALBAKI 2014: 86-7), in the treatise *al-Muzhir fī ‘ulūm al-luḡa wa-anwā’i-hā* (i: 453, ii: 275-6, 289) al-Suyūṭī takes extracts from the *Kitāb al-Nawādir* authored by Yūnus Ibn Ḥabīb (d. 182/798), one of Sībawayhi's teachers, who is also mentioned by Ibn al-Qaṭṭā’ among the sources of his treatise (see IBN AL-QAṬṬĀ’, *Kitāb ‘abniyat al-‘asmā’ wa’l-‘af‘āl wa’l-maṣādir*: 90)

59 al-SUYŪṬĪ, *al-‘Itqān fī ‘ulūm al-Qur’ān*, ii: 303.

60 al-ZABĪDĪ, *Tāḡ al-‘arūs*, xl: 520 (s.v. *al-wāw al-mufrada*).

61 The locus probans is the following: *dikru ‘abniyati l-‘asmā’i wa-ḥaṣri-hā qāla abū l-qāsimi ‘aliyyun-i bnu ḡāfara l-sa’diyyu l-luḡawīyyu l-ma’rūfu bi-bni l- qaṭṭā’i fī kitābi l-‘abniyah* (al-SUYŪṬĪ, *al-Muzhir fī ‘ulūm al-luḡa wa-anwā’i-hā*, ii: 4). In this passage, the *Kitāb al-‘abniyah* the Egyptian polymath refers to is precisely the *Kitāb ‘abniyat al-‘asmā’ wa’l-‘af‘āl wa’l-maṣādir*, as is inferred from the very phrase *‘abniyat al-‘asmā’* in the section heading *dikr ‘abniyat al-‘asmā’*.

62 See al-SUYŪṬĪ, *al-Muzhir fī ‘ulūm al-luḡa wa-anwā’i-hā*, ii: 10-12. In this passage, the Egyptian polymath includes *‘, t, y, m* and even *h*, but not *w*, among the word-initial affixes (i.e., prefixes): *al-mazḏu min-a l-lulātīyyi ḡayru l-muḏa‘afi min-hu mā tulḥiqu-hu ziyādatun wāḥidatun qabla l-fā’i ‘alā wazni ‘a-fal [...] wa-‘alā tu-f’ul wa-hwa qalilun [...] wa-‘alā ya-f’al [...] wa-‘alā na-f’il [...] wa-‘alā ma-f’al [...] fa-ammā ziyādati l-hā’i qabla l-fā’i fa-naḡā-hu ba’du-hum [...] fa-aṭbata-hu ba’du-hum fa-qāla yaḡīru ‘alā hifa’l hizabr [...] wa-qabla l-‘ayni ‘alā fā’il.*

*mašādir*, in which such an affixal and semantically oriented analysis is found.<sup>63</sup> On the other hand, al-Zabīdī's dictionary contains several *loci probantes*, which quote this work of Ibn al-Qaṭṭā'. To begin with, al-Zabīdī's refers to Ibn al-Qaṭṭā' as the source of some obsolete words recorded in the *Tāğ al-'arūs*,<sup>64</sup> such as *qarṭama* and *qaršama*, and the editors of this dictionary cite passages of the *Kitāb al-'afāl* by Ibn al-Qaṭṭā',<sup>65</sup> in which the latter effectively mentions the same words. More to the point, in the *Tāğ al-'arūs* al-Zabīdī considers an extract from the *Kitāb 'abniyat al-'asmā' wa 'l-'afāl wa 'l-mašādir* itself and locates it with accuracy "at the end (*fī āḥir*)" of the treatise in question.<sup>66</sup> This kind of intertextuality plausibly shows that al-Zabīdī was familiar with Ibn al-Qaṭṭā''s treatises, *Kitāb 'abniyat al-'asmā' wa 'l-'afāl wa 'l-mašādir* included.<sup>67</sup>

To summarize the main results of this section, a first examination of the *Kitāb 'abniyat al-'asmā' wa 'l-'afāl wa 'l-mašādir* seemingly reveals an appreciable tendency on the part of Ibn al-Qaṭṭā' toward semantically-oriented originality, which is plausibly rooted in the milieu of the linguistic exegesis of the Koran (cp. his practice of glossing obscure words, e.g., *ğumud*). The most conspicuous instance of an originality of this kind is his treatment of *w* as a word-initial affix *wa*, provided as such with the meaning of oath. This semantic originality is to a certain extent due to Ibn al-Qaṭṭā', as both his predecessors (Sībawayhi) and successors (al-Suyūṭī, al-Zabīdī) reject an interpretation of *w* as a word-initial affix

<sup>63</sup> See the passage quoted in the previous footnote.

<sup>64</sup> See, e.g., al-ZABIDĪ, *Tāğ al-'arūs*, xxxiii: 259, 262 and the editors' notes therein. These passages read as follows: *'an ibni l-qattā'i ka-qaršama* and *wa 'l-qarṭamatu l-qarṣamatu wa-aydan-i l-'adwu naqalahu bnu l-qattā'*

<sup>65</sup> See the beginning of this section.

<sup>66</sup> See, e.g., al-ZABIDĪ, *Tāğ al-'arūs*, i: 285. In this passage, al-Zabīdī records thirteen *mašḍars* for the verb *šani'a* but also adds that according to al-Ġawharī its *mašḍars* are fourteen instead: *fa-šāra l-mağmū'u ṭalāṭata 'ašara mašḍaran wa-zāda l-ğawhariyyu šinā'in ka-sihābin fa-šāra arba'ata 'ašara bi-dālika*. Then al-Zabīdī goes on to say that Ibn al-Qaṭṭā' too states that the *mašḍars* of the verb *šani'a* are fourteen at the end of his morphological treatise: *qāla l-šayḥ wa-staqṣā dālika abū l-qāsimi bni l-qattā'i fī taṣrīfi-hi fa-inna-hu qāla fī āḥiri-hi wa-aktaru mā waqī'a min-a l-mašādiri li-l-fi'li l-wāḥidi arba'ata 'ašara mašḍaran naḥwa šani'tu šan'an wa-awšala mašādira-hu ilā arba'ata 'ašara*. In his Introduction to *Kitāb 'abniyat al-'asmā' wa 'l-'afāl wa 'l-mašādir*: 26, the editor 'Abd al-Dāyīm identifies the end of the morphological treatise referred to by al-Zabīdī as *Kitāb 'abniyat al-'asmā' wa 'l-'afāl wa 'l-mašādir*: 382. Effectively, this passage is located at the end of the treatise in question, and is almost identical to the aforementioned passage from *Tāğ al-'arūs*: *wa-aktaru mā waqī'a min-a l-mašādiri li-l-fi'li l-wāḥidi arba'ata 'ašara mašḍaran wa-tnā 'ašara mašḍaran naḥwa šani'tu šan'an wa-šun'an wa-šin'an wa-šana'an wa-šanā'an wa-šanā'atan wa-mašna'an wa-mašni'atan wa-mašna'atan wa-šan'atan wa-šan'anan wa-šanānan wa-šun'anan wa-šin'anan*. Cp. also *Kitāb 'abniyat al-'asmā' wa 'l-'afāl wa 'l-mašādir*: 372, where Ibn al-Qaṭṭā' exemplifies the infinitive pattern *fu'lān* by means of yet another *mašḍar* of the verb *šani'a*, notably *šun'an*: *wa-'alā fu'lān naḥwa šani'a šun'an*.

<sup>67</sup> To this we might add that in his treatise *Muğnī l-labīb 'an kutub al-'a'arīb*, which includes an exhaustive survey of Arabic particles, Ibn Hišām too espouses the mainstream view that *wāwu l-qasam* is a genitive-assigning particle, as can be inferred from the phrases that he uses to describe this kind of *wāw*, namely *ḥarf al-wāw* and *wāwān yanğarr mā ba'da-humā*. See IBN HIŠĀM, *Muğnī l-labīb 'an kutub al-'a'arīb*, i: 225, 272, 278, which reads as follows: *ḥarfu l-wāw 'al-wāwu l-mufradatu ntahā mağmū'u mā yuḍkaru min 'aqṣāmi-hā 'ilā 'aḥada 'ašara [...] tanbīh za'ama qawmun 'anna l-wāwa qad taḥruḡu 'an 'ifādati muṭlaqu l-ğam'i wa-dālika 'alā 'awğuhin aḥadu-hā 'an tusta'malu bi-ma'nā 'aw [...] al-sādisu wa 'l-sābi'u wāwāni yanğarru mā ba'da-humā 'iḥdā-humā wāwu l-qasam*.

and/or subscribe to a formal interpretation of *wa*, which denies the latter a semantic content in its function as a word-initial affix, instead regarding it as a genitive-assigning particle.

## Conclusions

This paper has plausibly substantiated the hypothesis that Ibn al-Qaṭṭā' can be considered, along with the perhaps most famous grammarians al-Astarābādī and Ibn Hišām, as one of the few bearers of semantic originality in the context of medieval Arabic linguistic thought, as is shown by the construct of a word-initial and meaningful affix *w(a)*. Such a construct is seemingly absent in Sībawayhi's *Kitāb*, whereas Ibn al-Qaṭṭā' posits it and identifies it with the so-called *wāw al-qasam*. This paper also stresses the point that the traits of semantic originality introduced into Arab linguistic thought by al-Astarābādī, Ibn Hišām and Ibn al-Qaṭṭā' share a common epistemological aspect: they possibly find their ultimate origin in the milieu of the linguistic exegesis of the Koran. Further research is needed to acquire a better understanding of how, on the whole, the original aspects of the semantic approach pursued by al-Astarābādī, Ibn Hišām and Ibn al-Qaṭṭā' position themselves within the historical development of Arabic linguistic thought, which witnessed at least three stages—early, or formative, classical, and late, or post-classical.<sup>68</sup> At the current research stage it seems safer to maintain that the semantically-oriented approach co-existed with the formal approach since the beginnings of Arabic linguistic thought, albeit in an implicit or embryonic form, so the original character of Late grammarians such as al-Astarābādī, Ibn Hišām and Ibn al-Qaṭṭā' lies mainly in their efforts to make the semantically-oriented approach more explicit and central.

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<sup>68</sup> See, e.g., CARTER 2007: 182-90 and SEIDENSTICKER 2008: 30.

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